

FY2019 Second Chance Act Innovative Reentry Initiatives:

Building System Capacity & Testing Strategies to Reduce Recidivism

Orientation Webinar

December 5, 2019

This project was supported by Grant No. 2016-MU-BX-K011 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice.

Speakers

Rachel Brushett, PhD, *Senior Policy Advisor, Bureau of Justice Assistance, U.S. Department of Justice*

Jennifer Lewis, *State Policy Advisor, Bureau of Justice Assistance, U.S. Department of Justice*

Robert Vehock, *Program Manager, Office of Reentry Louisiana Department of Public Safety & Corrections*

Olivia Koukoui, *Policy Analyst, Corrections & Reentry Division, The Council of State Governments Justice Center*

The Council of State Governments Justice Center

Mission

We develop research-driven strategies to increase public safety and strengthen communities.



**Justice
Center**

Who We Are

We combine the power of a membership association, representing state officials in all three branches of government, with the expertise of a policy and research team focused on assisting others to attain measurable results.

Agenda

- 1. Overview of the Second Chance Act (SCA)
Innovative Reentry Initiatives (IRI) and Technical Assistance**
- 2. FY2019 IRI Planning and Implementation Process**
- 3. Grantee Experience**
- 4. Questions and Answers**

The U.S. Department of Justice Bureau of Justice Assistance

Mission: To provide leadership and services in grant administration and criminal justice policy development to support local, state, and tribal justice strategies to achieve safer communities.



**Bureau of Justice Assistance
U.S. Department of Justice**

www.bja.gov

The Second Chance Act



- ❖ The Second Chance Act supports state, local, and tribal governments and nonprofit organizations in their work to reduce recidivism and improve outcomes for people leaving incarceration.
- ❖ The Second Chance Act has supported over \$400 million in reentry investments across the country since it passed in 2008.
- ❖ Passed in 2018, the Second Chance Reauthorization Act builds on and strengthens the initial landmark legislation.

- ❖ **Delivers** technical assistance (TA) and training for Second Chance Act grantees
- ❖ **Advances** the knowledge base of the reentry field
- ❖ **Promotes** what works in reentry and successes of grantees
- ❖ **Facilitates** peer networks and information exchange
- ❖ **Provides** information for people returning to communities and their families

Transition of the NRRC

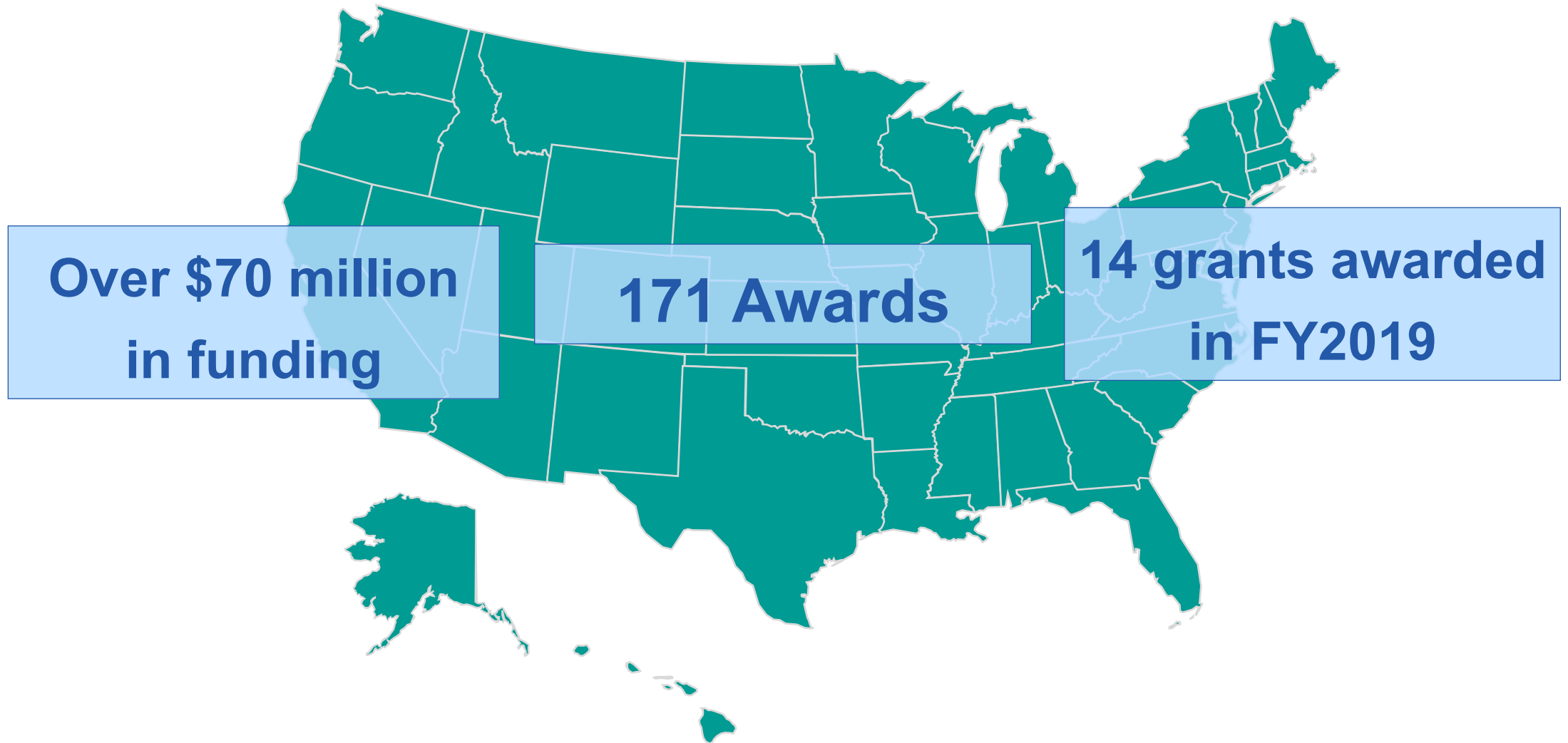
The role of the NRRC will remain the same as the work transitions to the American Institutes for Research (AIR)

Attendee Poll

- ☐ Have you previously received a BJA award?
 - ☐ Yes
 - ☐ No
- ☐ If so, have you previously received an SCA award?
 - ☐ Yes
 - ☐ No



SCA Innovative Reentry Initiative (IRI)



FY2019 IRI Grant Recipients



1. New Jersey Department of Corrections
2. Executive Office of the Governor of Delaware
3. Texas Department of Criminal Justice
4. Skagit County (WA)
5. Cook County (IL)
6. Washtenaw County Sheriff's Office (MI)
7. Northwest Ohio Criminal Justice Coordinating Council

FY2019 IRI Grant Recipients (cont.)



8. Lancaster County (NE)
9. Office of the Managing Director, City of Philadelphia (PA)
10. Shelby County Government (TN)
11. Indianapolis (IN)
12. Los Angeles County (CA)
13. Pascua Yaqui Tribe of Arizona
14. St. Croix Chippewa Indians of Wisconsin

Overview of IRI Program

**Provide
resources to
identify assets
and gaps in
local reentry
systems**

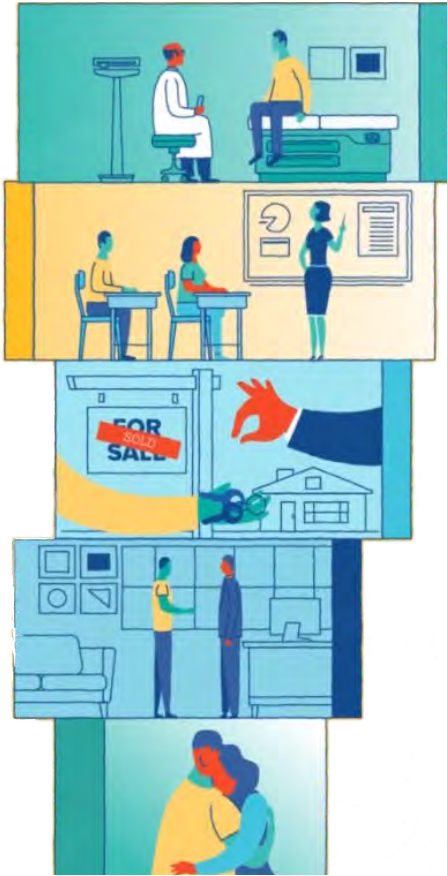


**Develop
capacity and
partnerships
with other
justice
agencies**



**Provide
services that
prevent
recidivism,
reduce crime,
and improve
public safety**

IRI Program Objective



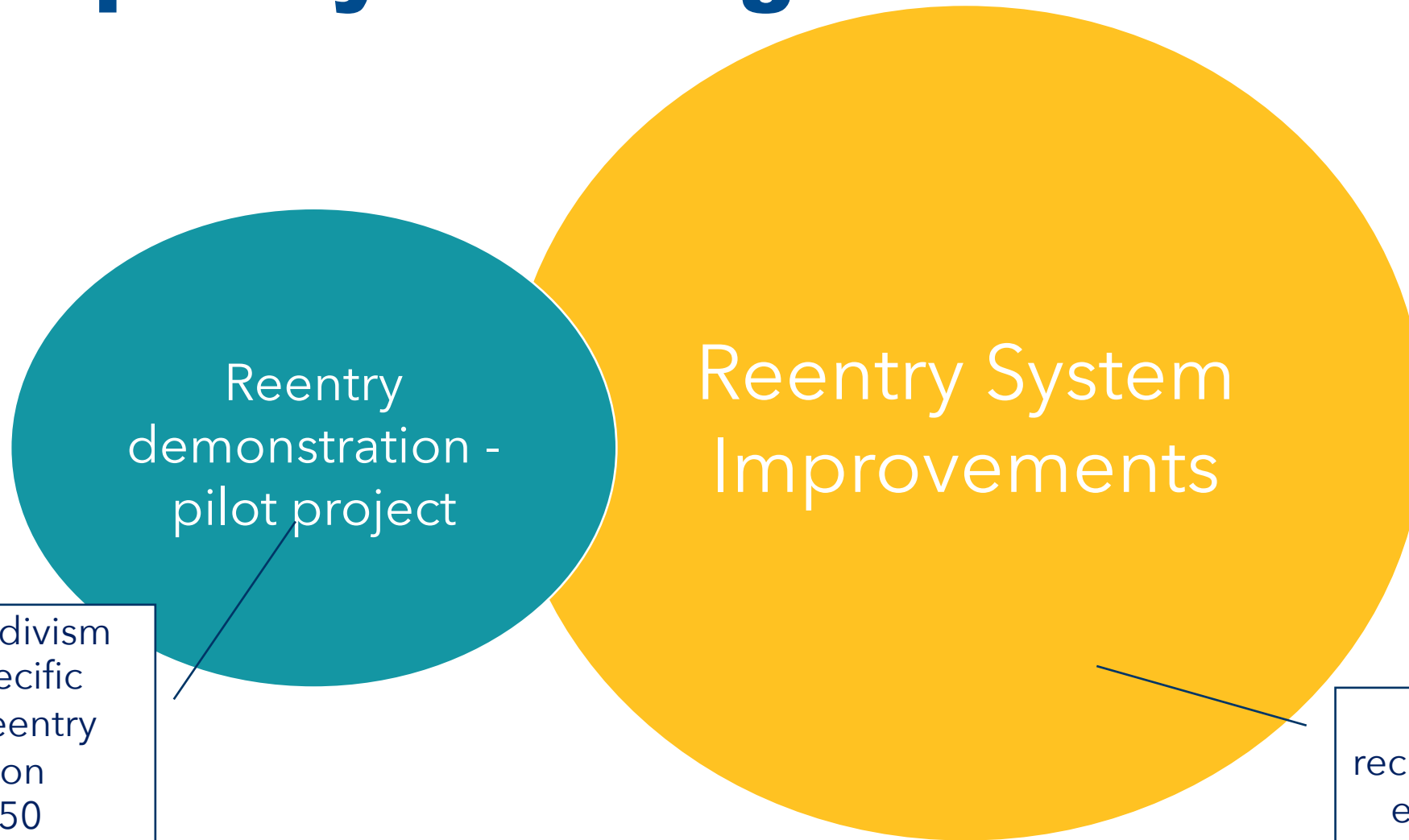
Reduce recidivism among people reentering the community who are at ***medium to high risk*** for recidivating and to improve public safety

IRI Award Information



Planning: up to 12 months \$75,000	Implementation: 24 months	Evaluation: 12 months
Up to \$925,000		

IRI Capacity Building



Impacts recidivism
among specific
subset of reentry
population
(Serve 150
individuals)

Impacts
recidivism among
entire reentry
population

Keys to Grant Success

-  Ongoing engagement of the reentry task force
-  Partnership with corrections agency for transition planning
-  Collaboration with a research partner
-  Connection to service providers within the communities to which people return
-  Active participation with your technical assistance coach

Grantee Contact Sheet

Bureau of Justice Assistance (BJA)

- Financial and Progress Reports, Grant Adjustment Notices (GAN)
- **BJA State Policy Advisor** – Jennifer Lewis Jennifer.L.Lewis@usdoj.gov

Performance Management Tool (PMT)

- Quarterly performance measurement data entry
- PMT help desk email bjapmt@usdoj.gov or toll-free at 1-888-252-6867

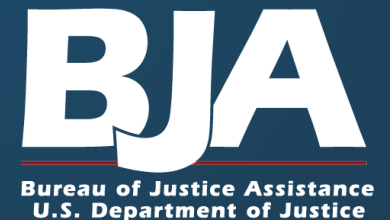
National Reentry Resource Center

- Training and Technical Assistance

BUREAU OF JUSTICE ASSISTANCE

POST-AWARD GRANT MANAGEMENT INTRODUCTION (2019)

Jennifer Lewis, State Policy Advisor



Special Conditions

Special conditions are terms and conditions that are included with the award.

- Special conditions are additional requirements covering areas such as programmatic and financial reporting, prohibited uses of Federal funds, consultant rates, changes in key personnel, and proper disposition of program income.
- There are several mandatory special conditions that will be included on any DOJ award. A list of all the mandatory OJP special conditions are available at the following link – <https://ojp.gov/funding/Explore/LegalOverview2019/MandatoryTermsConditions.htm>

Withholding Special Conditions

Additional withholding special conditions - These conditions place holds on funds for overdue reports and/or pending budget approval, other program requirements including documentation that was missing or incomplete during grant application review.

Planning/Action Plan requirement – Many of your awards have built in a planning period, leading to the development of a Planning and Implementation Guide or Action Plan. This comprehensive project plan is developed with your National Reentry Resource Center (NRRC) technical assistance coach and program partners. Therefore these awards also include a related withholding special condition limiting spending until the required planning documents are submitted and approved.

It is important to work with your State Policy Advisor to remove any active withholding special conditions before your agency can to expend, obligate or draw down award funds.

Progress Reporting Requirements

Quarterly Performance Metric Tool (PMT) Programmatic reports: **Submitted in PMT ONLY!**

PMT Website - <https://bjapmt.ojp.gov/>

Reporting Period

January 1 – March 31

April 1 – June 30

July 1 – September 30

October 1 – December 31

Due Date

April 30

July 30

October 30

January 30

Progress Reporting Requirements

Semi-Annual Grants Management System (GMS) Programmatic reports: **Submitted in GMS**

GMS Website - <https://grants.ojp.usdoj.gov>

- January 30 and July 30
- Upload PDF versions of your PMT to the report in GMS- **The systems are not linked**
- Requires responses to BJA Seven Narrative Questions
- **FINAL PROGRESS REPORTS: If your grant requires an evaluation as part of the project you must attach the evaluation report under the Final Progress Report in GMS.**

NOTE: GMS automatically freezes grant funds for delinquent reports. It is important to submit reports on time. Late reports also influence how your award is risk assessed by OJP.

Federal Financial Reports SF-425

Reporting Period

January 1 – March 31

April 1 – June 30

July 1 – September 30

October 1 – December 31

Due Date

April 30

July 30

October 30

January 30

- Submitted in GMS: <https://grants.ojp.usdoj.gov>
- No activity? Enter 0.
- FFR Training and Technical Assistance Guide
<https://ojp.gov/funding/Implement/Resources/FederalFinancialReportUserGuide.pdf>
- For help with Federal Financial Reports, please contact OCFO Customer Service by phone at 1-800-458-0786 (option 2), or by email at ask.ocfo@usdoj.gov.

Grants Financial Management Training

- Completion of this required training (FY 16 and beyond) for award POCs and Financial POCs must be finished within 120 days of award acceptance. Failure to comply will result in a withholding special condition.
- Grants Financial Management Online Training - 24 module training emphasizing the basics of federal grants management. For information on the online training go to:
<https://onlinegfmt.training.ojp.gov/>
- The required training is also offered an in person format. For more information please go to:
<https://gfmts.training.ojp.gov/index.html>
- Recertification is required, to remain in compliance with the financial management training must be successfully completed every (3) three years.

Grant Adjustment Notices (GANs)

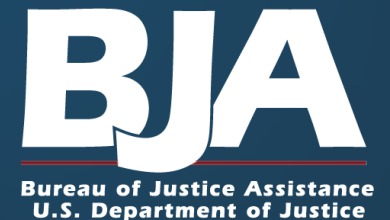
- A GAN is used to request project changes and/or corrections.
- Once OJP makes a decision regarding the proposed change, the grantee is notified by GMS via e-mail. This is why you need to have the correct person assigned as Point of Contact in GMS.
- GANs are submitted and approved through GMS. GAN types include:
 - Budget Modifications
 - Change of Scope
 - Project Period
 - Point of Contact Information
 - Removal of Special Conditions
 - Sole Source (Non competitive procurement contract >\$250,000)
 - Program Office Approval
 - Costs Requiring Prior Approval (e.g. consultant rates >\$650 per day)
- GANs will not be approved if the grantee is delinquent on financial or programmatic reporting.

Important Links

- BJA Grant Writing and Management Academy (five online training modules for the life of the grant including managing federal funds, strategic planning, and budgets): <https://www.bja.gov/gwma/index.html>
- Grants Management System (GMS): <https://grants.ojp.usdoj.gov/>
 - GMS FAQs https://grants.ojp.usdoj.gov/gmsexternal/gmsHelp/gms_faq.htm
 - GMS Training Tool: <http://www.ojp.gov/gmscbt/>
 - GMS Help Desk: 1-888-549-9901
- BJA Performance Tools (PMT): <https://bjapmt.ojp.gov/>
 - PMT Help Desk: 1-888-252-6867
- OJP award great online resources:
 - OJP Grants 101: <http://www.ojp.gov/grants101/>
 - OJP Funding Resource Center <http://ojp.gov/funding/index.htm>
 - Post Award Instructions <https://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>
- OJP Standard Forms & Instructions: <https://ojp.gov/funding/Apply/forms.htm>

Thank you.

Jennifer Lewis
State Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
Direct: (202) 305-8064
Email: Jennifer.L.Lewis@usdoj.gov



Agenda

- 1. Overview of the Second Chance Act (SCA)
Innovative Reentry Initiatives (IRI) and Technical Assistance**
- 2. FY2019 IRI Planning and Implementation Process**
- 3. Grantee Experience**
- 4. Questions and Answers**

P&I Guide Overview

Section 1: Grantee Information and Initiative Description

Section 2: Developing Your Task Force

Section 3: Assessing Policies and Practices, and
Identifying System Improvements

Section 4: Screening, Assessments, and Target Population

Section 5: Process Flow Chart

Section 6: Logic Model

Section 7: Evaluation and Sustainability



Phase 1: Planning

- ✓ Engage a task force and assess policies and practices in four capacity areas*
- ✓ Actively partner with a third-party evaluator

Deliverables:

1. Task force documentation
2. Action Plan Part 1 (assessment results)

All phase one deliverables are due by month 12



**Data-driven decision making, staff allocation to maximize impact, quality and capacity of community providers to address client needs, and other barriers to successful reentry, such as housing. (See Appendix A in solicitation for an overview of assessment criteria.)*

Phase 1: Planning (cont.)

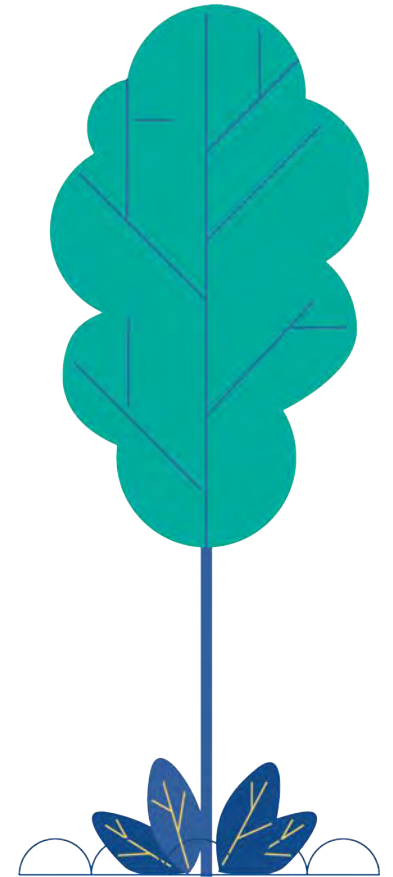
Utilize assessment results to:

- ✓ Address general system improvements
- ✓ Develop demonstration/pilot project (which will serve 150 individuals)

Deliverables:

3. Action Plan Part 2 (problem analysis, logic model, summary of strategies and intended outcomes, case flow analysis, research base)

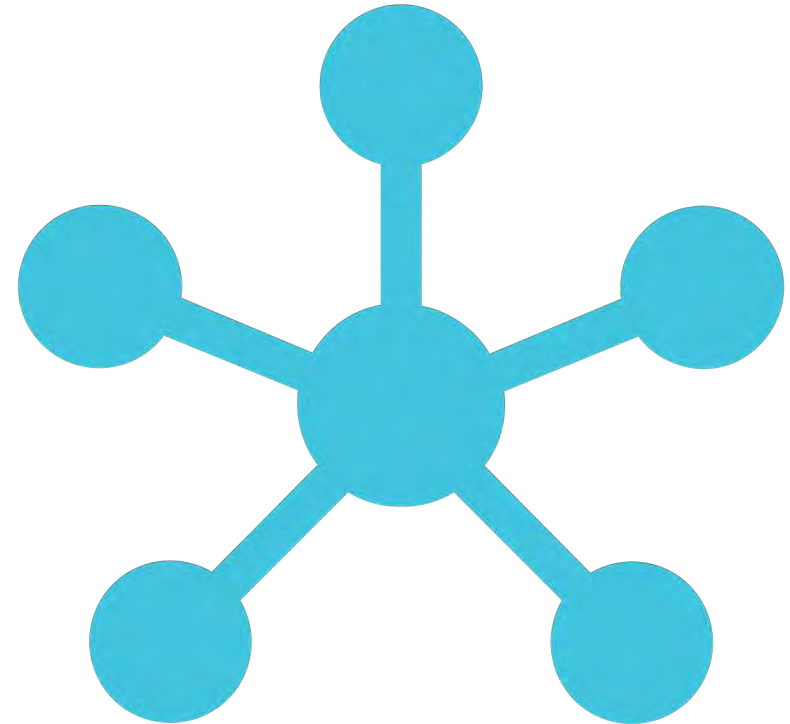
All phase one deliverables due by month 12



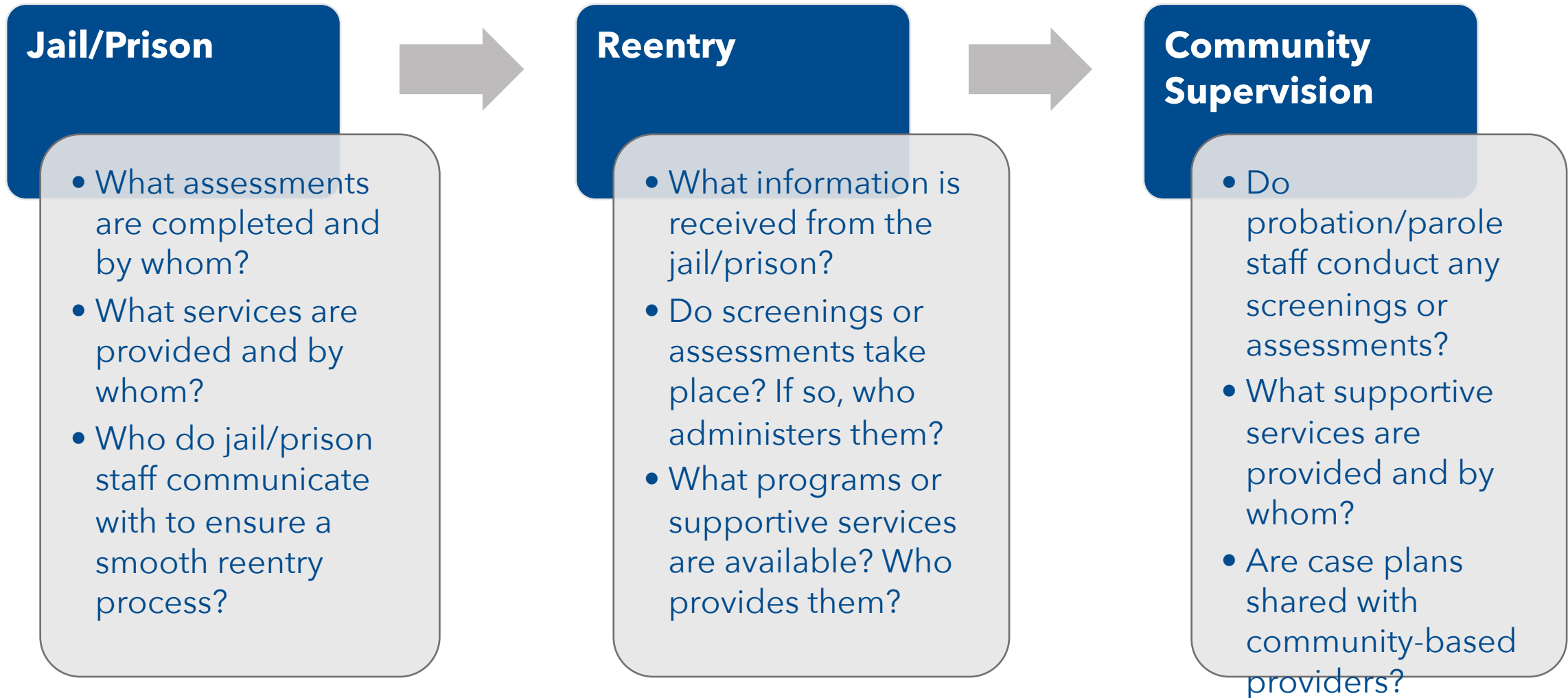
Phase 1: Planning (cont.) – *General System Improvements*

Assessing domains:

- ✓ Data
- ✓ Prerelease planning
- ✓ Staff capacity
- ✓ Behavioral health
- ✓ Community supervision



P&I Guide Flow Chart



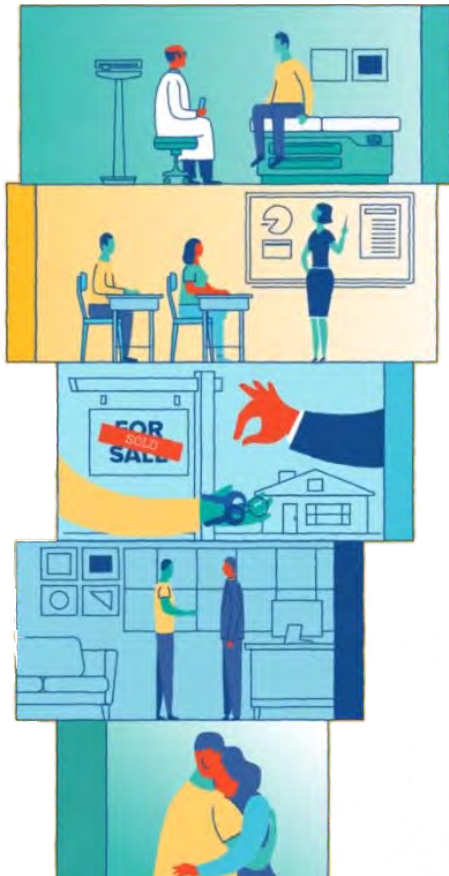
P&I Guide Logic Model

Logic Model				
Initiative Goals	Input/Resources (existing and grant funded)	Activities and Timeline	Outputs/Process Measures	Outcomes
<i>Example: Implement risk and/or needs assessment tool</i>	<i>Example: Grant funds; training funds for correctional staff</i>	<i>Example: Integrate tool into intake process and reentry case planning (beginning July 2020)</i>	<i>Example: Number of assessments completed</i>	<i>Example: 100% of case plans are developed based on prioritized domains identified through risk/needs assessment</i>

P&I Guide Data Collection Plan

Data Collection Plan					
Outputs/ Outcomes	What data are needed to evaluate the outputs/ outcomes?	Who collects the data?	Where are data stored?	When are data collected?	Do you currently track this data?
<i>Example: Change in attitude</i>	<i>Example: COMPAS (before and after tests)</i>	<i>Example: Probation officer</i>	<i>Example: Case management database</i>	<i>Example: At intake and discharge</i>	<i>Yes/No</i>

Phase 2: Implementation



- ✓ Implement general system improvements and demonstration/pilot project
- ✓ Work with evaluation partner to test strategies to address gaps and deficiencies

Deliverables:

1. Preliminary process evaluation reflecting demonstration project and system improvement, due at 30 months

Phase 2: Implementation –

General System Improvements

Examples:

➤ **Formalize policy changes**

- Information-sharing agreements
- Risk assessment protocols

➤ **Align hiring, training, and performance measures with best practices**

➤ **Implement staff training**

- EPICS
- Motivational Interviewing

Phase 2: Implementation – ***Demonstration/Pilot Project***

Example of target population:

- Geographic location to which a disproportionately high number of people are returning
- Specific age group, gender, or race

Example of demonstration/ pilot project:

- Gender-specific continuum of care, grounded in evidence-based practices

Phase 3: Evaluation

- ✓ Track recidivism (e.g., rearrest, reconviction, and reincarceration) and outcome measures defined in the evaluation plan
- ✓ Assess the effectiveness of interventions



Deliverables:

1. Preliminary outcome/impact evaluation due at 36 months
2. Final process and outcome evaluation due at grant closeout

Funds used for evaluation purposes only during this phase

Agenda

- 1. Overview of the Second Chance Act (SCA)
Innovative Reentry Initiatives (IRI) and Technical Assistance**
- 2. FY2019 IRI Planning and Implementation Process**
- 3. Grantee Experience**
- 4. Questions and Answers**

Louisiana Department of Public Safety & Corrections (FY2017)

Goals & Objectives

To effect change in the Louisiana Department of Corrections through

- Examining outcomes of the Louisiana Prison Reentry Initiative
- Implementing assessment-informed interventions
- Promoting culture change among staff

Louisiana Department of Public Safety & Corrections (FY2017)

Accomplishments & Lessons Learned:

- ✓ Developed and implemented a system-level risk of homelessness assessment
- ✓ Analyzed the impact of interventions and programs
- ✓ Supported 10 local reentry coalitions across the state

Louisiana Department of Public Safety & Corrections (FY2017)

Benefits of Technical Assistance:

- Provided guidance on the direction of the project
- Assisted with prioritizing activities and implementing evidence based practices
- Connected staff with subject matter experts to ensure successful implementation of interventions
- Helped restructure the evaluation methodology when political pressure necessitated it



Questions and Answers

Thank you!

Join our distribution list to receive National
Reentry Resource Center updates!

csgjusticecenter.org/subscribe