

# Fiscal Year 2021 Second Chance Act Programs

## Adult Reentry Education, Employment, Treatment, and Recovery Program

Tuesday, May 25, 2021

BUREAU OF JUSTICE ASSISTANCE

# FUNDING OPPORTUNITY: FISCAL YEAR 2021 SECOND CHANCE ACT PROGRAMS

**Adult Reentry Education, Employment, Treatment,  
and Recovery Program**



**BJA**  
Bureau of Justice Assistance  
U.S. Department of Justice

# Speakers

U.S. Department of Justice, Bureau of Justice Assistance

- **Andre Bethea**, Policy Advisor
- **Ruby Qazilbash**, Associate Deputy Director

American Institutes for Research

- **Jennifer Loeffler-Cobia**, Director, National Reentry Resource Center

# Agenda

1. Overview
2. Fiscal Year 2021 Second Chance Act Grant Programs
3. Additional Information
4. JustGrants

Second Chance Act  
solicitations are available at  
<https://bja.ojp.gov/funding/current>.

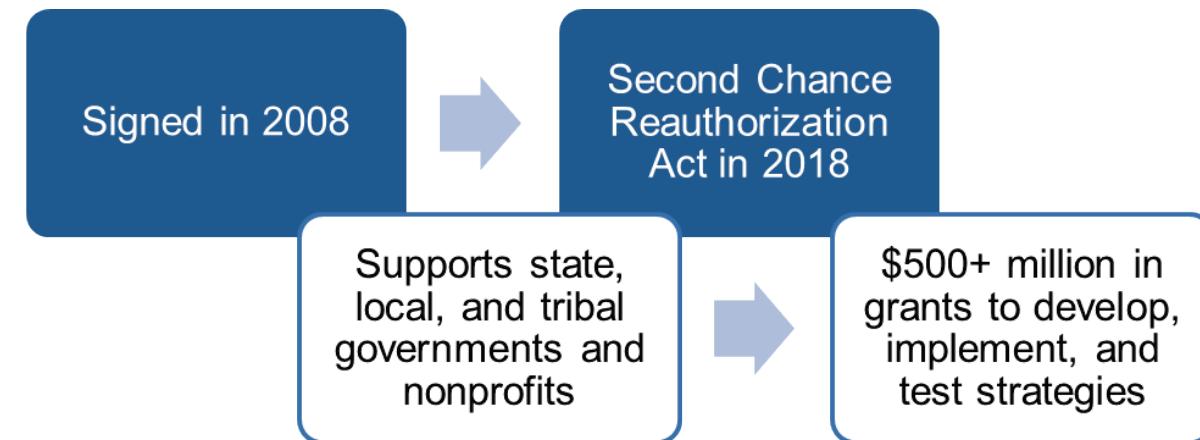
# SECTION 1

# OVERVIEW



# The Second Chance Act (SCA)

Funding to reduce recidivism and improve outcomes for youth and adults leaving detention and incarceration to return to their communities



# Bureau of Justice Assistance (BJA) Mission

To provide leadership and services in grant administration and criminal justice policy development to support local, state, and tribal justice strategies to achieve safer communities.

[www.bja.ojp.gov](http://www.bja.ojp.gov)

# BJA's SCA Funding

## Grant Funding

Seed money

Proof of concept

Expand or enhance

## Training & Technical Assistance to Grantees

With fidelity

In line with research

With project management  
and content expertise

SCA also funds the National Reentry Resource Center to support grantees and the field at large.

# National Reentry Resource Center

The American Institutes for Research (AIR) operates the National Reentry Resource Center (NRRC). The primary mission of the NRRC is to advance the knowledge base of the reentry field.

**The NRRC serves as a convener and coordinator of SCA grantees.**

**The NRRC website is a resource center for grantees, individuals, and the field.**

- Topics that intersect with reentry, including community supervision, housing, and employment
- Resources include publications, tools, webinars, and information clearinghouses:
  - National Inventory of Collateral Consequences of Conviction
  - Clean Slate Clearinghouse
  - Public Safety Risk Assessment
  - Reentry Service Directory

# National Reentry Resource Center

- **Advances** the knowledge base of the reentry field
- **Promotes** what works in reentry and successes of grantees
- **Facilitates** peer networks and information exchange
- **Provides** information for people returning to communities and their families

# National Reentry Resource Center

The NEW NRRC website launched April 26<sup>th</sup> during Reentry Week.

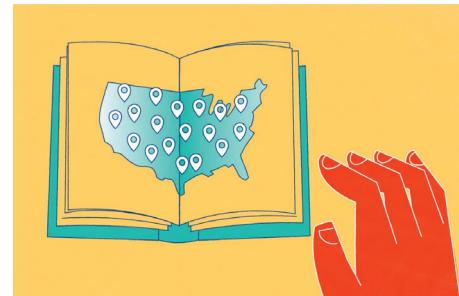
The NRRC site is designed to provide an interactive experience to assist with targeted resource searching.

<https://nationalreentryresourcecenter.org> or [reentrymatters.org](http://reentrymatters.org)

Latest News and Resources in Reentry



National Criminal Justice Initiatives Map



Directories for State and Local Reentry Services



## SECTION 2

### **FISCAL YEAR 2021 SCA GRANT PROGRAMS**

**FUNDING OPPORTUNITY:  
ADULT REENTRY EDUCATION,  
EMPLOYMENT, TREATMENT AND  
RECOVERY PROGRAM**

# Five SCA Funding Opportunities

Community-Based Reentry Program

Pay for Success Initiative

Adult Reentry Education, Employment, Treatment, and Recovery Program

Innovations in Reentry Initiative

Smart Probation:  
Innovations in Supervision Initiative

# SCA: Adult Reentry Education, Employment, Treatment, and Recovery Outcomes Program

## Purpose

The purpose of the program is to enhance the ability of corrections systems to address the substance use treatment needs of offenders as well as expand education and employment programs that emphasize strong partnerships with corrections, parole, probation, education, workforce development, and reentry service providers. This will work to reduce recidivism, promote recovery, and enhance employment prospects for incarcerated adults reentering the workforce. These partnerships can support the establishment and improvement of academic and vocational education programs and career training programs available in prisons and jails. Overall, these efforts will improve public safety and public health. Programs should be focused on the 3-year period before release, as well as upon transition and reentry into the community.

# Summary Information

## Pre-release Access

- Participants are expected to be screened, assessed, and identified for program participation pre-release.
- During the post-release phase of the reentry program, participants will receive case management services and will be connected to evidence-based programming designed to assist them in making a safe and successful transition from prison or jail to the community. Where feasible, case management services and evidence-based programming should begin during the pre-release phase.

# Summary Information

## **Correctional Partner Memorandum of Agreement**

- Nonprofit organizations and noncorrectional government applicants must include as an attachment a memorandum of agreement or understanding (MOA or MOU) that clearly demonstrates an established, collaborative relationship between the applicant and the correctional agencies that:
  1. oversee the specific facility or facilities from which the applicant proposes to recruit the target reentering population.
  2. oversee community corrections (probation and/or parole) for the target population.

# Summary Information

## Correctional Partner Memorandum of Agreement (Continued)

- The MOAs/MOUs must include the following information:
  - The roles and responsibilities for staff from both agencies involved in the program.
  - Terms of access to the correctional facility or facilities for program staff. (If applicants are unable to conduct in-reach into correctional facilities, the application should explain the reason(s) that such access is not practicable.)
  - Information on pre-release programming and interventions provided by the correctional agency to each participant.
  - The data elements and performance measures that the partnering agencies will provide—or assist the grantee in obtaining—for the purpose of measuring the impact of grant activities.

# Summary Information

**Mandatory Chief Executive Assurance to Collect and Report Recidivism Indicator Data**

# Summary Information

## Documentation of Advancing DOJ Priorities

- The Office of Justice Programs (OJP) will give priority consideration in award decisions to applications that specify how the project will advance one or more of the following DOJ priorities:
  - The promotion of civil rights
  - Access to justice
  - Support to crime victims
  - Protecting the public from crime and evolving threats
  - Building trust between law enforcement and the community

# Summary Information

## Letters of Support

- Letters of support from key partners (other than correctional agency partners for which MOAs/MOUss are required), detailing the commitment to work with the applicant to promote the mission of the program

# Summary Information

## Tribal Authorizing Resolution

- If applicable, applicants will submit the Tribal Authorizing Resolution by uploading the resolution as an attachment in JustGrants. An application in response to this solicitation may require inclusion of information related to a tribal authorizing resolution as an attachment. See the [OJP Grant Application Resource Guide](#) for information on tribal authorizing resolutions.

# Summary Information

## Eligible Applicants

- May submit only one application per category but may apply to more than one category.
- Eligible applicants:
  - Units or components of state government agencies
  - Units or components of county or city local government agencies
  - Federally recognized Indian tribal governments
  - Nonprofit organizations having a 501(c)(3) status with the Internal Revenue Service, other than institutions of higher education

# Summary Information

## Budget

- Applicants should budget funding to travel to a peer-learning event (such as a regional meeting).
- Applicants must estimate the costs of travel and accommodations for three staff members to attend one meeting per year in Washington, DC.
- All expenses must be reasonable, allowable, and necessary to the project.
- The estimates must provide a breakdown of all costs and must adhere to the federal per diem.
- All grantees will access up to \$100,000 until an action plan is approved by BJA during the first 6 months of the grant period after the final budget is approved.

# Summary Information

## Unallowable Uses of Award Funds

- In addition to the unallowable costs identified in the DOJ Grants Financial Guide, award funds may not be used for the following:
  - Prizes/rewards/entertainment/trinkets (or any type of monetary incentive)
  - Client stipends
  - Gift cards
  - Vehicles
  - Food and beverage
  - Cost sharing or matching requirement

# Category 1: Improving Correctional Education

## Goal

- Improve the academic and vocational education programs available to offenders in prisons and jails.

## Objectives

- Implement or expand educational and vocational programs for incarcerated adults that result in improved educational outcomes, as measured by literacy attainment, high school equivalence, high school and higher education diplomas, certifications, and other credentials.
- Demonstrate increased collaboration between educational providers and corrections, community supervision providers, and other reentry stakeholders.

# Category 1: Improving Correctional Education

## Deliverables (all categories)

- Development of an action plan with input from BJA and an assigned technical assistance coach, submitted within 6 months of receiving final budget approval
- A final report at the end of the project period

# Category 1: Improving Correctional Education

## Funding

- Fiscal year (FY) 2021 opportunities
  - Estimated number of awards: Up to seven
  - Award amount: Up to \$900,000
  - Period of performance duration: 36 months

# Category 1: Improving Correctional Education

## Examples of potential Category 1 program activities

- A partnership between a state or local department of corrections (DOC) and college to provide a college preparatory course within prison
- A partnership between a nonprofit and colleges or DOCs to do in-reach or planning for education for individuals post-release
- A training for community supervision officers coordinated by a state or local DOC and a college on how to support formerly incarcerated adults going to college
- A training coordinated by state or local DOC and colleges to build and increase buy-in for correctional education among correctional officers and to support college-going among them and/or their families

# Category 2: Improving Vocational and Employment Services

## Goal

- Provide career training, including subsidized employment, when part of a training program, to prisoners and reentering adults.

## Objective

- Implement or expand job readiness assessments, individualized case plans, and employment-related services for incarcerated adults that result in improved job readiness, employment attainment, and retention.

# **Category 2: Improving Vocational and Employment Services**

## **Deliverables**

- Development of an action plan with input from BJA and an assigned technical assistance coach, submitted within 6 months of receiving final budget approval
- A final report at the end of the project period

# Category 2: Improving Vocational and Employment Services Funding

- FY 2021 opportunities
  - Estimated number of awards: Up to eight
  - Award amount: Up to \$900,000
  - Period of performance duration: 36 months

# **Category 2: Improving Vocational and Employment Services**

## **Category 2 Specific Requirements**

- Eligible Category 2 applicants must commit to restrict internet access by incarcerated individuals as appropriate to ensure public safety and facility security.

# Category 2: Improving Vocational and Employment Services

## Statutory Priority Areas

- Category 2 applicants can receive priority consideration for applications that:
  1. Provide assessment of local demand for employees in the geographic areas to which offenders are likely to return,
  2. Conduct individualized reentry career planning upon the start of incarceration or post-release employment planning for each offender served under the grant,
  3. Demonstrate connections to employers within the local community, or
  4. Track and monitor employment outcomes.

# Category 2: Improving Vocational and Employment Services

## Examples of Potential Category 2 Program Activities

- A partnership between a state or local DOC, nonprofit reentry service providers, and workforce development stakeholders to identify and align the use of a job readiness assessment tool to aid in reentry
- A partnership between a state or local DOC and nonprofits to create a shared system for developing and managing individualized case plans for incarcerated adults to ensure continued and relevant services post-release
- A training for reentry case managers on strategies to promote job retention after placement

# Category 3: Improving Substance Use Disorder (SUD) Treatment Services

## Goal

- Enhance the ability of corrections systems to address the substance use treatment needs of offenders in order to reduce recidivism, promote recovery, and, in the process, improve public safety and public health.

## Objectives

- Improve the provision of SUD treatment to people in prisons and jails, through the reentry process, including during a period of parole or court supervision, if applicable.
- Provide prison-based family treatment programs for incarcerated parents of minor children or pregnant women.
- The target population for services under Category 3 can include people with SUDs as well as people with co-occurring substance use and mental health disorders.

# Category 3: Improving Substance Use Disorder (SUD) Treatment Services

## Deliverables

- Development of an action plan with input from BJA and an assigned technical assistance coach, submitted within 6 months of receiving final budget approval
- Final report at the end of the project period

# Category 3: Improving Substance Use Disorder (SUD) Treatment Services

## Funding

- FY 2021 opportunities
  - Estimated number of awards: Up to 12
  - Award amount: Up to \$900,000

# Category 3: Improving SUD Treatment

## Allowable Uses of Funds

- Continue and improve drug treatment programs, including the provision of medication-assisted treatment, provided at a prison or jail.
- Provide prison-based family treatment programs to incarcerated parents of minor children or pregnant women.
- Develop and implement programs for supervised long-term substance abusers that include alcohol and drug abuse assessments, coordinated and continuous delivery of drug treatment, and case management services.
- Strengthen rehabilitation efforts for offenders by providing addiction recovery support services.
- Provide for salaries, personnel costs, facility costs, and other costs directly related to the program's operation.

# Category 3: Improving SUD Treatment

## Statutorily Mandated Project Components

***All applicants for Category 3 must:***

- Standardize screening and assessment processes for SUDs using a validated tool for offenders entering a correctional facility (e.g., booking, intake, or classifications).
- Provide evidence-based pre- and post-release substance use and cognitive behavioral interventions to address criminogenic risk factors.
- Establish medication-assisted treatment as part of any drug treatment program for offenders who are in a prison or jail.
- Collect and use data to determine the effectiveness of the BJA-funded drug treatment programs.

# Category 3: Improving SUD Treatment

## Statutorily Mandated Project Components

*Applicants for Category 3 prison-based programs should:*

- Integrate techniques to assess the strengths and needs of the incarcerated parent's immediate and extended family to support a treatment plan for the incarcerated parent.
- Ensure that each participant in that program has access to consistent and uninterrupted care if transferred to a different correctional facility within the state or other relevant entity.
- Ensure the program is located in an area separate from the general population of the prison.

# Category 3: Improving SUD Treatment

## Mandatory Certification Requirement

### Service Provider Licensing/Accreditation/Certification Documentation

- All collaborating service provider organizations listed in this application meet applicable licensing, accreditation, and certification requirements.
- As the authorized representative, I also understand that the following information is required to receive funding, and these materials have been included with the application materials:
  - a letter of commitment that specifies the nature of the participation and what service(s) will be provided from every service provider organization listed in the application that has agreed to participate in the project;
  - documentation that all service provider organizations collaborating in the project have been providing relevant services for a minimum of 2 years prior to the date of the application in the area(s) in which services are to be provided. Official documents definitively establish that the organization has provided relevant services for the last 2 years; and
  - documentation that all collaborating service provider organizations are in compliance with all local (city, county), state, and tribal requirements for licensing, accreditation, and certification or official documentation from the appropriate agency of the applicable state, tribal, county, or other governmental unit that licensing, accreditation, and certification requirements do not exist.

# Category 3: Improving SUD Treatment

## Examples of Potential Category 3 Program Activities

- A reentry partnership between a state or local corrections agency or a state/local/nonprofit SUD treatment service provider to provide pre- and post-release SUD treatment
- A partnership between a state or local community supervision, corrections agency, and SUD treatment nonprofit to enhance reentry supports for people with SUDs on community supervision with a focus on gender-responsive services for women
- A partnership between pretrial services and a SUD treatment nonprofit supporting identification and connections to care for people with SUDs who are in pretrial status

## SECTION 3

# ADDITIONAL INFORMATION

# Additional Eligibility Requirements

To advance Executive Order 13929 Safe Policing for Safe Communities, the Attorney General determined that all state, local, and university or college law enforcement agencies must be certified by an approved, independent credentialing body or have started the certification process to be eligible for FY 2021 DOJ discretionary grant funding. The certification requirement also applies to proposed subawardees.

To become certified, the law enforcement agency must meet two mandatory conditions: (1) the agency's use of force policies adheres to all applicable federal, state, and local laws; and (2) the agency's use of force policies prohibits chokeholds except in situations where use of deadly force is allowed by law.

For detailed information on this new certification requirement, please visit <https://cops.usdoj.gov/SafePolicingEO>.

# Dual Deadlines

Applications will be submitted in a new two-step process, each with its own deadline:

- Step 1: Applicants submit an SF-424 and an SF-LLL at [Grants.gov](https://Grants.gov).
- Step 2: Applicants submit the full application, including attachments, at [JusticeGrants.usdoj.gov](https://JusticeGrants.usdoj.gov).

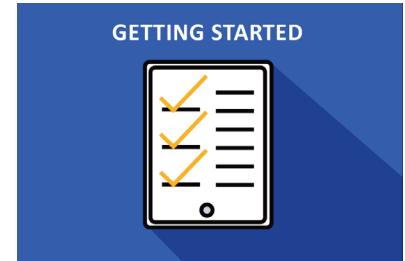
Read the solicitation document carefully for further guidance.

# JustGrants

- Offers a streamlined, end-to-end process that enables applicants and grantees to move seamlessly through the full grants management life cycle.
- Gives applicants and award recipients new ways to manage their own entity information and that of users in the system.

# Application Submission

- ✓ Identify the forms needed to submit an application.
- ✓ Complete a web-based budget form.
- ✓ Complete an application, including certifying the information.
- ✓ Submit the application.



# JustGrants Support



Trainings: “Application Mechanics: Submitting an Application” Webinar

Archived webinars show applicants how to use JustGrants to apply for funding, including:

- How to search and find open DOJ funding opportunities
- The steps/actions that applicants need to take before applying for funding (e.g., SAM.gov)
- The JustGrants roles (e.g., Entity Administrator, Application Submitter, etc.) required to submit an application
- How to navigate and use the system (e.g., save your work, etc.)

# Application Elements

- ✓ Application (Form SF-424)
- ✓ Project Abstract
- ✓ Program Narrative
- ✓ Goals, Objectives, Deliverables, and Timelines
- ✓ Budget Information
- ✓ Indirect Cost Rate Agreement
- ✓ Financial Management Questionnaire
- ✓ Disclosures: Lobbying, Pending Applications, Research and Evaluation Independence, Executive Compensation

## EXAMPLE Review Criteria

| Selection Criteria  | Weight |
|---|--------|
| Description of the issue  | 10%    |
| Project design and implementation   | 40%    |
| Capabilities and competencies   | 25%    |
| Plan for collecting data for the solicitation's performance measures and sustainability plans | 15%    |
| Budget  | 10%    |

# Recommended Resources: BJA Grant Applicant Education Series

- Funding Opportunities for Your Community in 2021: An Overview of What's Ahead
- The Funding Process: First Steps to Applying, How to Prepare Now, and Other Considerations

PDFs of the presentations and transcripts are available at  
<https://www.bja.gov/funding/webinars.html>.

# Recommended Resources on the Web

OJP Funding Resource Center

<https://ojp.gov/funding/index.htm>

DOJ Grants Financial Guide

<https://ojp.gov/financialguidedoj/overview>

DOJ Grants Financial Management Online Training

<https://www.ojp.gov/training/financial-management-training>

OJP Grant Application Resource Guide

<https://ojp.gov/funding/Apply/Resources/Grant-App-Resource-Guide.htm>

National Institute of Justice's CrimeSolutions.gov

<https://www.CrimeSolutions.gov>

# Important Contacts



## Application Submission Technical Assistance

Grants.gov Customer Support Hotline

1-800-518-4726 | [support@grants.gov](mailto:support@grants.gov)  
*Open 24 hours a day, 7 days a week*



## Solicitation Assistance Contact Information

National Criminal Justice Reference Service Response Center

1-800-851-3420 | [grants@ncjrs.gov](mailto:grants@ncjrs.gov)  
*Open 10:00 am to 6:00 pm, EST, M-F*

Second Chance Act solicitations are available:

<https://bja.ojp.gov/funding/current>

Application deadlines vary, so read carefully!

JustGrants Trainings:

<https://justicegrants.usdoj.gov/training/training-application-submission>



Access trainings  
for a JustGrants  
application  
webinar.

# QUESTIONS